

# The Lightest 4x4 MDS Matrices over $GL(4, \mathbb{F}_2)$

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**Abstract.** Maximal distance separable (MDS) matrices are important components for block ciphers. In this paper, we present an algorithm for searching  $4 \times 4$  MDS matrices over  $GL(4, \mathbb{F}_2)$ . By this algorithm, we find all the lightest MDS matrices have only 10 XOR counts. Besides, all these lightest MDS matrices are classified to 3 types, and some necessary and sufficient conditions are presented for them as well. Some theoretical results can be generalized to the case  $GL(m, \mathbb{F}_2)$  easily, and  $4 \times 4$  MDS matrices with 10 XOR counts can be constructed directly.

**Keywords:** MDS matrix, lightweight, diffusion layer.

## 1 Introduction

The diffusion and confusion are fundamental properties when designing symmetric-key ciphers [1]. They are required for the security of the cipher. Generally, the confusion property is to spread the internal dependencies as much as possible [2]. While the diffusion layer is obtained by a linear diffusion matrix which transforms an input vector to some output vector via diffusion operations. To resist linear and differential attacks, it is necessary to maximize the diffusion power of a matrix. That is to say, increasing the branch number is helpful to achieve a better performance. The matrix with the maximum branch number is a perfect diffusion layers, and we call the matrix a *Maximal Distance Separable* (MDS) matrix.

MDS matrices are widely used in many ciphers like AES [3], LED [4], SQUARE [5]. In the view of computation efficiency, not all of the MDS matrices are appropriate for diffusion layer in practice. Thus, it is necessary to reduce the implementation costs when designing the diffusion layer. Recently, several lightweight block cipher such as SIMON and SPECK [6], PRESENT [7], SIMECK [8] and lightweight function such as QUARK [9] and PHOTON [10] are designed to minimize the implementation costs. For MDS matrix, the construction of lightweight MDS matrix becomes a hot topic, where *lightweight MDS matrix* means the MDS matrix with small XORs.

The common method of constructing lightweight MDS matrices is to use some specific structure of the matrix, then choose the elements of finite fields with lower Hamming weight. Thus, circulant matrix and Hadamard matrix are preferred due to their limited elements. Circulant-like MDS matrices were constructed and

the lightest MDS circulant-like matrices were found [11,12]. Hadamard-Cauchy like MDS and involutory MDS matrices were studied as well [13]. Li and Wang [14] first constructed (non-commutative) circulant involutory MDS matrices and gave some lower bounds on XORs of circulant and Hadamard MDS matrices. Liu and Sim [15] generalized the circulant structures and proposed a new class of matrices, called cyclic matrices. They also obtained the lightest cyclic matrices. Sarkar and Syed [?] gave theoretical constructions of Toeplitz MDS matrices and reported the minimum value of XOR counts of  $4 \times 4$  MDS matrices over  $\mathbb{F}_2^4$  and  $\mathbb{F}_2^8$ , respectively.

Another way to construct lightweight MDS matrices is by recursive construction. This method was first used in the design of PHOTON lightweight hash family [10] and LED lightweight block cipher [4]. Sajadieh et al. [16] extended the recursive method by using linear transformations instead of multiplications of elements in finite fields. Then Wu et al. [17] improved it by using linear transformations with fewer XORs and gave some extreme lightweight MDS matrices.

Although these methods are efficient for finding lightweight MDS matrices, the matrices found are optimal among the subclasses rather than the whole population of the matrix type. And the lower bounds of XORs are not confirmed yet. To the best of our knowledge, the lightest  $4 \times 4$  MDS matrices over  $GL(4, \mathbb{F}_2)$  have the weight of 10 counted by XOR operators [?]. For  $4 \times 4$  MDS matrices over  $GL(8, \mathbb{F}_2)$  the lightest weight is reported as 27 [?].

In this paper, we present an algorithm for searching MDS matrices without any particular structures. We find all the lightest  $4 \times 4$  MDS matrices over  $GL(4, \mathbb{F}_2)$  has 10 XOR counts, including the Toeplitz MDS matrices presented in [?]. We classify all these lightest MDS matrices to 3 types, and give some sufficient and necessary conditions for these 3 types matrices being MDS matrices. Using these conditions, we directly constructed some  $4 \times 4$  MDS matrices over  $GL(m, \mathbb{F}_2)$  with 10 XOR counts where  $m \leq 4$ .

We summarize our contributions of this paper below.

1. We present a searching algorithm and give the lower bound of XOR counts for  $4 \times 4$  MDS matrices over  $GL(4, \mathbb{F}_2)$ .
2. We classified the lightest  $4 \times 4$  MDS matrices over  $GL(4, \mathbb{F}_2)$  into 3 classes by their structures.
3. We give some sufficient and necessary conditions for these 3 classes of matrices being MDS matrices. We also prove that the conditions can be generalized to  $4 \times 4$  MDS matrices over  $GL(m, \mathbb{F}_2)$ . An instance is also provided.

**Outline.** We first give some notations in Sect. 2. Then we give our algorithm and results in Sect. 3, together with some theoretical results. The conclusion comes in Sect. 4.

## 2 Preliminary

In this section, we first state some notations which will be useful in the rest of the paper. Then two useful propositions of MDS matrices are given. Please

note that all the matrices mentioned in our paper are square matrices unless otherwise stated.

The notation  $GL(m, S)$  denotes the set of all  $m \times m$  non-singular matrices with entries in  $S$ , where  $S$  is generally a finite field. For any  $a, b \in \mathbb{F}_2$ , the operation  $a + b$  is called a bit XOR operation. For a matrix  $A \in GL(m, \mathbb{F}_2)$ , we use  $\#A$  to denote the number of XOR operations that is required to calculate  $A \cdot x$  where  $x \in \mathbb{F}_2^m$ . In the paper, we use ‘‘XOR counts’’ instead of ‘‘the number of XOR operations’’ for short. It is easy to see

$$\#A = \sum_{i=1}^m (\omega(A[i]) - 1),$$

where  $\omega(A[i])$  means the number of nonzero entries in the  $i$ -th row of  $A$ .

We consider the matrix having the following form:

$$L := (L_{i,j}) = \begin{pmatrix} L_{1,1} & L_{1,2} & \cdots & L_{1,n} \\ L_{2,1} & L_{2,2} & \cdots & L_{2,n} \\ \vdots & \vdots & \ddots & \vdots \\ L_{n,1} & L_{n,2} & \cdots & L_{n,n} \end{pmatrix},$$

where  $L_{i,j} \in GL(m, \mathbb{F}_2)$  for  $1 \leq i, j \leq n$ . We denote  $\mathcal{M}(n, m)$  be the set of all matrices having the above form.

Generally, XOR counts is the number of all the XOR operations. Thus the total XOR operations of  $L$  is  $\sum_{i,j=1}^n (\#L_{i,j}) + m \times (m-1) \times n$ , where  $m \times (m-1) \times n$  is fixed. For convenience, we define the XOR counts of the matrix  $L : \#L = \sum_{i,j=1}^n (\#L_{i,j})$ .

Square sub-matrices of  $L$  of order  $t$  means the following matrices

$$L(J, K) := (L_{j_l, k_p}, 1 \leq l, p \leq t)$$

where  $J = [j_1, \dots, j_t]$  and  $K = [k_1, \dots, k_t]$  are two sequences of length  $t$ , and  $1 \leq j_1 < \dots < j_t \leq n, 1 \leq k_1 < \dots < k_t \leq n$ .

The following two propositions are well known.

**Proposition 1 (Theorem 1 in [14])** *Let  $L \in \mathcal{M}(n, m)$ . Then  $L$  is a MDS matrix if and only if all square sub-matrices of  $L$  of order  $t$  are of full rank for  $1 \leq t \leq n$ .*

In order to speed up the search of MDS matrices, we need to define a stronger equivalent relation between MDS matrices.

**Definition 2** *Consider a matrix  $L = (L_{i,j}), 1 \leq i, j \leq n$  such that  $L_{i, \sigma(i)} = I_m$  and  $L_{i,j} = 0$  for  $j \neq \sigma(i)$ , where  $I_m$  is the  $m \times m$  identity matrix over  $\mathbb{F}_2$  and  $\sigma(\cdot)$  is a permutation of  $[1, 2, \dots, n]$ . Let  $\mathbb{P}$  be a set of all such  $L$ 's.*

*Let  $\mathbb{Q}$  be a set of  $\text{Diag}(L_1, L_2, \dots, L_n)$ , where  $L_i \in GL(m, \mathbb{F}_2)$  and  $\#L_i = 0$  for  $i = 1, 2, \dots, n$ .*

*For  $M, N \in \mathcal{M}(n, m)$ , we say  $M$  is equivalent to  $N$ , if there exists  $P_1, P_2 \in \mathbb{P}, Q_1, Q_2 \in \mathbb{Q}$  such that  $M = P_1 \cdot Q_1 \cdot N \cdot Q_2 \cdot P_2$ .*

For any  $P \in \mathbb{P}, Q = \text{Diag}(L_1, L_2, \dots, L_n) \in \mathbb{Q}$ , where  $P_{i,\sigma(i)} = I_m$  and  $P_{i,j} = 0$  for  $j \neq \sigma(i)$ , it is easy to verify that  $P \cdot Q = \text{Diag}(L_{\sigma(1)}, L_{\sigma(2)}, \dots, L_{\sigma(n)}) \cdot P$ . Therefore, the relation in Definition 3 is an equivalent relation.

**Proposition 3** *For  $M, N \in \mathcal{M}(n, m)$ , if  $M$  is equivalent to  $N$ , then  $M$  is an MDS matrix if and only if  $N$  is an MDS matrix.*

*Proof.* Multiplication by Matrices of  $P$  and  $Q$  only swaps the rows or the columns of every square sub-matrices. The invertibility of the square sub-matrices still holds. Therefore,  $M$  is an MDS matrix if and only if  $N$  is an MDS matrix.

In simple words, we say two MDS matrices, e.g.  $M$  and  $N$ , are equivalent, if  $M$  can be transformed to  $N$  by simply swapping rows and columns in some ways.

According to this equivalence, we define the *row/column-minimal form* of a matrix in  $GL(m, \mathbb{F}_2)$ . Given  $M \in GL(m, \mathbb{F}_2)$  and let  $r_i$  be the  $i$ -th row of  $M$ ,  $0 < i \leq m$ , where  $r_i$  can be regarded as a binary number and the most significant bit is the left-most. Thus, the rows of  $M$  are comparable. Particularly, we say the  $i$ -th row is **lighter** than the  $j$ -th row, if the binary number of the  $i$ -th row is smaller. If  $r_i \leq r_j$  for all  $i$  and  $j$  such that  $0 < i < j \leq m$ , we say that  $M$  is the row-minimal among all the equivalent matrices. Similarly, we can define the column-minimal form, where the most significant bit is the top-most. Either of them can be used as representative element of equivalent matrices set. Please note that to illustrate more clearly, we use the symbol  $\cdot$  in matrix to replace 0 here and in the rest of the paper as well.

*Example 1.* Let

$$M = \begin{pmatrix} 1 & 1 & \cdot & 1 \\ \cdot & 1 & \cdot & 1 \\ \cdot & \cdot & \cdot & 1 \\ \cdot & \cdot & 1 & \cdot \end{pmatrix}.$$

Consider its rows as binary number:  $r_1 = 1101, r_2 = 0101, r_3 = 0001, r_4 = 0010$ . We have  $r_3 < r_4 < r_2 < r_1$ . Thus its row-minimal form is

$$M_r = \begin{pmatrix} \cdot & \cdot & \cdot & 1 \\ \cdot & \cdot & 1 & \cdot \\ \cdot & 1 & \cdot & 1 \\ 1 & 1 & \cdot & 1 \end{pmatrix}.$$

In the same way, binary numbers of its columns are  $c_1 = 1000, c_2 = 1100, c_3 = 0001, c_4 = 1110$ . Then we have  $c_3 < c_1 < c_2 < c_4$  and obtain its column-minimal form:

$$M_c = \begin{pmatrix} \cdot & 1 & 1 & 1 \\ \cdot & \cdot & 1 & 1 \\ \cdot & \cdot & \cdot & 1 \\ 1 & \cdot & \cdot & \cdot \end{pmatrix}.$$

When we construct the MDS matrix with XOR count 10, the companion matrix of a polynomial plays an important role. For a polynomial  $f(x) = x^n + a_{n-1}x^{n-1} + \dots + a_1x + a_0 \in \mathbb{F}_2[x]$ , the companion matrix of  $f(x)$  is

$$C = \begin{pmatrix} 0 & & & a_0 \\ 1 & 0 & & a_1 \\ & \ddots & \ddots & \vdots \\ & & 1 & 0 \\ & & & 1 \end{pmatrix} \begin{matrix} a_{n-2} \\ a_{n-1} \end{matrix}.$$

It is well known from linear algebra that the characteristic polynomial of  $C$  is equal to  $f(x)$ .

### 3 Results on $4 \times 4$ MDS Matrices

In this section, we describe the algorithm of searching the lightest  $4 \times 4$  MDS Matrix over  $GL(4, \mathbb{F}_2)$  in Sect. 3.1. In Sect. 3.2 we give the results on MDS XOR counts, and focus on the structure of these founded MDS matrices with the minimal XOR counts. In Sect. 3.3, we present some properties of the lightweight  $4 \times 4$  MDS matrices based on the founded structures.

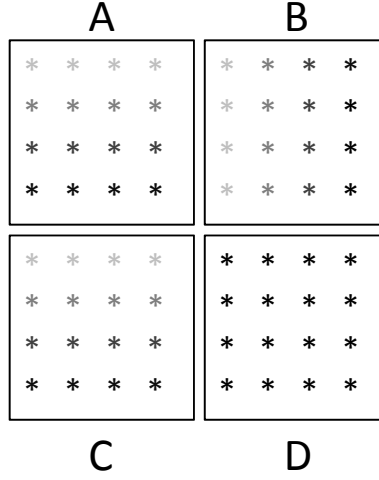
#### 3.1 Algorithm

To illustrate the algorithm clearly, we give a detailed description of the algorithm for searching  $2 \times 2$  MDS matrices. The algorithms for  $n \times n$  MDS matrices can be obtained by simple generalizations.

A matrix in  $\mathcal{M}(n, m)$  is partitioned into  $n^2$  blocks, where each block is a matrix in  $GL(m, \mathbb{F}_2)$ . The blocks of  $\mathcal{M}(2, 4)$  are showed in Figure 1. For the sake of convenience, we write  $M = (A, B, C, D)$  if  $M$  are partitioned into these 4 blocks. The symbol \* donates the binary elements in blocks, where the rows or columns with dark shade are always bigger than the light ones in Figure 1.

The main idea is to loop over all matrices in  $GL(4, \mathbb{F}_2)$  for block A, B, C and D. Then we check whether the  $2 \times 2$  matrix is of full rank or not. To avoid repetitive search, as shown in Figure 1, for block A and C, we only need to consider the matrices in row-minimal form. As to block B, we only consider the matrices in column-minimal form. **Proposition 3** shows that use of minimal form in this way is enough. Since permutations make no difference to the branch numbers of matrices. That is to say if A is a MDS matrix, then its minimal form is a MDS matrix as well. It is obvious that any matrix can be transformed to the form in Figure 1 only by swapping rows and columns. In this way, although we only consider the minimal form of block A,B and C, all the  $2 \times 2$  matrices are checked actually.

The  $2 \times 2$  Searching Algorithm searches all candidates and outputs all the  $2 \times 2$  MDS matrix over  $GL(4, \mathbb{F}_2)$ .

Fig. 1.  $2 \times 2$  matrix.**Algorithm 1:** The  $2 \times 2$  Searching Algorithm**Output:** The set of  $2 \times 2$  MDS matrices  $L \in \mathcal{M}(2, 4)$ .

```

1 begin
2    $L \leftarrow \emptyset$ 
3   for every matrix  $A \in GL(4, \mathbb{F}_2)$  do
4     if  $A$  is not row-minimal form then
5       GotoLine 3
6     for every matrix  $B \in GL(4, \mathbb{F}_2)$  do
7       if  $B$  is not column-minimal form then
8         GotoLine 6
9       for every matrix  $C \in GL(4, \mathbb{F}_2)$  do
10        if  $C$  is not row-minimal form then
11          GotoLine 9
12        for every matrix  $D \in GL(4, \mathbb{F}_2)$  do
13           $D' \leftarrow C \cdot A^{-1} \cdot B + D$ 
14          if  $D'$  is invertible then
15             $M \leftarrow (A, B, C, D)$ 
16             $L \leftarrow L \cup \{M\}$ 
17          else
18            GotoLine 3
19  return  $L$ 

```

To avoid the repetitive computation, the algorithm filters out those matrices without minimal forms of block  $A$ ,  $B$  and  $C$  in line 4-5, 7-8 and 10-11. By doing

computations at Line 13, we only need to calculate the rank of  $D'$  instead of  $M$ . This works because that linear transformation of matrix does not change the rank. If  $D'$  is of full rank, then  $M$  is of full rank. And we pick out  $A, B, C$  and  $D$  from the matrix of full rank. Therefore, only calculating the rank of  $D'$  is sufficient when we search  $2 \times 2$  MDS matrix. In practice, to accelerate the algorithm, we can add some limits by setting the maximum available XOR counts.

The algorithm can be generalized to  $n \times n$  MDS matrices directly. Here we take the  $3 \times 3$  Searching Algorithm for example. There are 9 for-loops in the algorithm corresponds to the blocks A-J in (a) of Figure 2. For each loop, the candidates are picked out from the singular matrices as well. Thus, steps of checking of sub-matrices of order 1 is omitted.

The sub-matrices of order 2 are constructed in the following sequence:  $(A, B, C, D) \rightarrow (A, B, E, F) \rightarrow (C, D, E, F) \rightarrow (A, G, C, H) \rightarrow (B, G, D, H) \rightarrow (A, G, E, J) \rightarrow (B, G, F, J) \rightarrow (C, H, E, J) \rightarrow (D, H, F, J)$ . The method to calculate the rank of these order 2 sub-matrices is as same as Algorithm 1.

To check whether the sub-matrix of order 3 is of full rank or not, we reduce the block C and E to 0 at first in (b). Then we calculate the rank of  $(C \cdot A^{-1} \cdot B + D, C \cdot A^{-1} \cdot G + H, E \cdot A^{-1} \cdot B + F, E \cdot A^{-1} \cdot G + J)$  and check whether it is of full rank or not. After checking all the sub-matrices of order 2 and 3, we can determine whether it is a MDS matrix or not. Please note that block A-J skipped the use of block name  $I$  to avoid the confusion with the identity matrix.

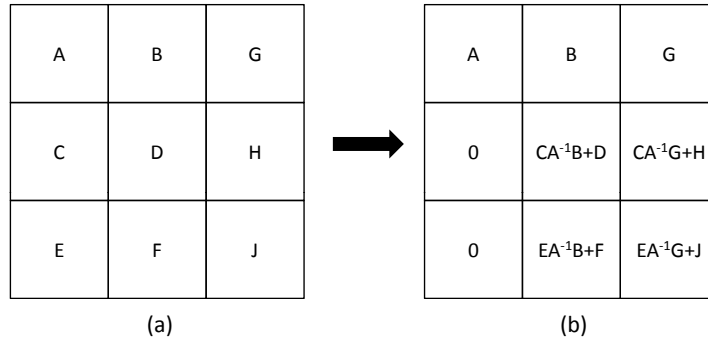


Fig. 2.  $3 \times 3$  matrix.

The method for searching  $4 \times 4$  MDS matrices is similar and we only give the loop order of block in Figure 3 here. Please note that we always suppose block  $A$  to be the identity matrix if we use the algorithm to search the lightweight MDS matrix with XOR counts less than 12. Since we set the upper bound of XOR counts to 12, there are at least 4 blocks with 0 XOR counts. By swapping rows and columns, block  $A$  can be transformed to the identity.

A	B	J	N
C	D	K	P
E	F	L	Q
G	H	M	R

**Fig. 3.**  $4 \times 4$  matrix.

### 3.2 Structures of the Lightest $4 \times 4$ MDS Matrices over $GL(4, \mathbb{F}_2)$

Li and Wang investigated the constructions of  $4 \times 4$  lightweight MDS matrices with entries in the set of  $4 \times 4$  non-singular matrices over  $\mathbb{F}_2$  [14]. They found  $\#L \geq 12$  and  $\#L \geq 16$  for Circulant MDS matrices and Hadamard MDS matrices, respectively.

By our algorithm, we searched all the lightweight matrices  $L \in \mathcal{M}(4, 4)$  such that  $\#L \leq 12$ , and obtain the following theorem.

**Theorem 4.** *Let  $L \in \mathcal{M}(4, 4)$ . If  $L$  is a MDS matrix, then  $\#L \geq 10$ .*

It takes about 1 days to verify that there is no MDS matrix  $L$  such that  $\#L \leq 9$ . We use less than 2 hours to find the first MDS matrix  $L$  with  $\#L = 10$ , and spend about one week to find out all MDS matrices with 10 XOR counts. Our platform is Intel i7-4790, 3.6 GHz with 16 GB memory, running Ubuntu 15.04.

We find that all the matrices in  $GL(4, \mathbb{F}_2)$  and its number is 20160, where the number of minimal form is 840. In implementation of search  $4 \times 4$  MDS matrices, there are 840 candidates for each block  $B, C, E, G, J$  and  $N$  and 20160 candidates for other blocks except  $A$ . Since this experiment aims to find the lower bound of XOR counts of  $4 \times 4$  MDS matrices over  $GL(4, \mathbb{F}_2)$ , we set the limit of XOR counts. In each loop, we first check the total XOR counts, if it exceeds the value of limit, we ignore it and continue to the next. With the help of these techniques, computational complexity is reduced.

We find that all the MDS matrices with 10 XOR counts can be classified into 3 types with respect to the equivalent relation defined in Define 2. We





$$\begin{array}{l}
 \text{Type2:} \\
 \left( \begin{array}{c|c|c|c}
 \cdot 1 \cdot \cdot & 1 \cdot \cdot \cdot & 1 \cdot \cdot \cdot & 1 \cdot \cdot \cdot \\
 1 \cdot 1 \cdot & \cdot 1 \cdot \cdot & \cdot 1 \cdot \cdot & \cdot 1 \cdot \cdot \\
 \cdot \cdot 1 & \cdot \cdot 1 \cdot & \cdot \cdot 1 \cdot & \cdot \cdot 1 \cdot \\
 \cdot 1 1 \cdot & \cdot \cdot \cdot 1 & \cdot \cdot \cdot 1 & \cdot \cdot \cdot 1 \\
 \hline
 1 \cdot \cdot \cdot & 1 \cdot \cdot \cdot & 1 \cdot \cdot 1 & \cdot 1 \cdot \cdot \\
 \cdot 1 \cdot \cdot & \cdot 1 \cdot \cdot & \cdot \cdot 1 \cdot & \cdot 1 \cdot 1 \cdot \\
 \cdot \cdot 1 \cdot & \cdot \cdot 1 \cdot & 1 \cdot \cdot \cdot & \cdot \cdot \cdot 1 \\
 \cdot \cdot \cdot 1 & \cdot \cdot \cdot 1 & \cdot 1 \cdot \cdot & \cdot 1 1 \cdot \\
 \hline
 1 \cdot \cdot \cdot & 1 \cdot \cdot 1 & \cdot \cdot 1 \cdot & \cdot 1 \cdot \cdot \\
 \cdot 1 \cdot \cdot & \cdot \cdot 1 \cdot & \cdot \cdot \cdot 1 & \cdot 1 \cdot \cdot \\
 \cdot \cdot 1 \cdot & 1 \cdot \cdot \cdot & \cdot 1 \cdot \cdot & \cdot \cdot \cdot 1 \\
 \cdot \cdot \cdot 1 & \cdot 1 \cdot \cdot & 1 \cdot 1 \cdot & \cdot \cdot \cdot 1 \\
 \hline
 1 \cdot \cdot \cdot & \cdot 1 \cdot \cdot & 1 \cdot \cdot \cdot & \cdot \cdot 1 \cdot \\
 \cdot 1 \cdot \cdot & 1 \cdot 1 \cdot & \cdot 1 \cdot \cdot & \cdot \cdot \cdot 1 \\
 \cdot \cdot 1 \cdot & \cdot \cdot \cdot 1 & \cdot \cdot 1 \cdot & \cdot 1 \cdot \cdot \\
 \cdot \cdot \cdot 1 & \cdot 1 1 \cdot & \cdot \cdot \cdot 1 & 1 \cdot 1 \cdot
 \end{array} \right) \\
 \\
 \text{Type3:} \\
 \left( \begin{array}{c|c|c|c}
 1 1 \cdot \cdot & 1 \cdot \cdot \cdot & 1 \cdot \cdot \cdot & 1 \cdot \cdot \cdot \\
 1 \cdot \cdot \cdot & \cdot 1 \cdot \cdot & \cdot 1 \cdot \cdot & \cdot 1 \cdot \cdot \\
 \cdot \cdot 1 1 & \cdot \cdot 1 \cdot & \cdot \cdot 1 \cdot & \cdot \cdot 1 \cdot \\
 \cdot \cdot 1 \cdot & \cdot \cdot \cdot 1 & \cdot \cdot \cdot 1 & \cdot \cdot \cdot 1 \\
 \hline
 1 \cdot \cdot \cdot & 1 \cdot \cdot \cdot & \cdot \cdot 1 \cdot & \cdot \cdot \cdot 1 \\
 \cdot 1 \cdot \cdot & \cdot 1 \cdot \cdot & \cdot \cdot \cdot 1 & \cdot \cdot 1 1 \\
 \cdot \cdot 1 \cdot & \cdot \cdot 1 \cdot & \cdot 1 \cdot \cdot & 1 \cdot \cdot \cdot \\
 \cdot \cdot \cdot 1 & \cdot \cdot \cdot 1 & 1 1 \cdot \cdot & \cdot 1 \cdot \cdot \\
 \hline
 1 \cdot \cdot \cdot & \cdot \cdot 1 \cdot & 1 \cdot \cdot \cdot & \cdot \cdot 1 1 \\
 \cdot 1 \cdot \cdot & \cdot \cdot \cdot 1 & \cdot 1 \cdot \cdot & \cdot \cdot \cdot 1 \\
 \cdot \cdot 1 \cdot & \cdot 1 \cdot \cdot & \cdot \cdot 1 \cdot & 1 1 \cdot \cdot \\
 \cdot \cdot \cdot 1 & 1 1 \cdot \cdot & \cdot \cdot \cdot 1 & 1 \cdot \cdot \cdot \\
 \hline
 1 \cdot \cdot \cdot & \cdot \cdot \cdot 1 & \cdot \cdot 1 1 & 1 \cdot \cdot \cdot \\
 \cdot 1 \cdot \cdot & \cdot \cdot 1 1 & \cdot \cdot 1 \cdot & \cdot 1 \cdot \cdot \\
 \cdot \cdot 1 \cdot & 1 \cdot \cdot \cdot & 1 1 \cdot \cdot & \cdot \cdot 1 \cdot \\
 \cdot \cdot \cdot 1 & \cdot 1 \cdot \cdot & 1 \cdot \cdot \cdot & \cdot \cdot \cdot 1
 \end{array} \right)
 \end{array}$$

*Remark 1.* The three examples are not the original matrices found. To illustrate that these matrices are symmetric, we rearrange the blocks in these MDS matrices. The blocks are not in column-minimal or row-minimal form is because we swap the rows and columns to make most of the blocks with XOR counts 0 to be identity matrix.

We obtain 845 MDS Matrices in minimal form through the searching algorithm, 364 of them are equivalent to type 1, 315 of them are equivalent to type 2 and the other 166 of them are equivalent to type 3. In these staticc, some matrices are exactly same after swapping rows and columns and the matrices in this situation are counted as well. Please note that not all of these matrices are representatives, the number of representatives in each type should be small. There is an interesting conclusion that under the equivalent relation al-

the matrices with xor counts 0 can be transformed into the identity matrix simultaneously. However, the reason is unknown.

### 3.3 Analyses on the Lightest $4 \times 4$ MDS Matrices

In this section, we give some sufficient and necessary conditions of the lightest  $4 \times 4$  MDS matrices over  $GL(4, \mathbb{F}_2)$ . Then we prove that the lower bound of XOR counts in the above structures is 10. At last, we generalize these types to  $GL(m, \mathbb{F}_2)$  and give an instance of lightweight  $4 \times 4$  MDS matrices over  $GL(8, \mathbb{F}_2)$  with 10 XOR counts.

**Theorem 6.** *For the matrices of Type 1 and 2 in Theorem 5 with  $AB = I$  and  $X = B^2$ , they are MDS matrices if and only if*

1.  $|B + I| \neq 0$ ,
2.  $|B^2 + B + I| \neq 0$ ,
3.  $|B^3 + B^2 + I| \neq 0$ ,
4.  $|B^3 + B + I| \neq 0$ , and
5.  $|B^6 + B^5 + B^2 + B + I| \neq 0$ ,

where  $B$  is in  $GL(m, \mathbb{F}_2)$ , and  $|B|$  means the determinate of  $B$ .

**Theorem 7.** *For the matrices of Type 3 in Theorem 5 with  $X = A+B$ ,  $YA^2 = I$  and  $A^2 = B^2 = X^2$ . they are MDS matrices if and only if  $|A + I| \neq 0$ , where  $A, B, X, Y$  are in  $GL(m, \mathbb{F}_2)$ , and  $|A|$  means the determinate of  $A$ .*

*Proof.* Since  $A^2 = B^2 = A^2 + AB + BA + B^2$ , then  $AX = A(A+B) = A^2 + AB = BA$ . Similarly, we can prove that  $AX = BA = XB$ ,  $BX = AB = XA$ .

For  $L$ , there are a total of  $\binom{4}{2} \times \binom{4}{2} = 36$  minors of order 2. Among them,  $\begin{vmatrix} Y & I \\ I & I \end{vmatrix}$  appears three times,  $\begin{vmatrix} I & A \\ I & I \end{vmatrix}$ ,  $\begin{vmatrix} I & B \\ I & I \end{vmatrix}$ ,  $\begin{vmatrix} I & X \\ I & I \end{vmatrix}$  appear four times respectively (since the swap of rows or columns does not change the determinant),  $\begin{vmatrix} Y & I \\ I & A \end{vmatrix}$ ,  $\begin{vmatrix} Y & I \\ I & B \end{vmatrix}$ ,  $\begin{vmatrix} Y & I \\ I & X \end{vmatrix}$  appear twice respectively,

$$\begin{vmatrix} I & I \\ A & B \end{vmatrix}, \begin{vmatrix} I & I \\ A & X \end{vmatrix}, \begin{vmatrix} I & I \\ B & X \end{vmatrix}, \begin{vmatrix} I & A \\ I & B \end{vmatrix}, \begin{vmatrix} I & A \\ I & X \end{vmatrix}, \begin{vmatrix} I & B \\ I & X \end{vmatrix}, \begin{vmatrix} I & A \\ A & I \end{vmatrix}, \begin{vmatrix} I & B \\ B & I \end{vmatrix}, \begin{vmatrix} I & X \\ X & I \end{vmatrix}, \begin{vmatrix} A & B \\ I & X \end{vmatrix}, \begin{vmatrix} A & B \\ X & I \end{vmatrix},$$

$\begin{vmatrix} A & X \\ B & I \end{vmatrix}$ ,  $\begin{vmatrix} I & B \\ A & X \end{vmatrix}$ ,  $\begin{vmatrix} I & A \\ B & X \end{vmatrix}$ ,  $\begin{vmatrix} A & I \\ B & X \end{vmatrix}$  appear once. With the help of the basis techniques in linear algebra, it is easy to compute the results of the minors above. For example, we show the details of computing the last one.

$$\begin{vmatrix} A & I \\ B & X \end{vmatrix} = \begin{vmatrix} I & 0 \\ X & I \end{vmatrix} \cdot \begin{vmatrix} A & I \\ XA + B & 0 \end{vmatrix} = |XA + B| = |AB + B| = |A + I| \cdot |B|$$

In the same way, we could obtain all the minors as  $|Y + I|, |A + I|, |B + I|, |X + I|, |AY + I|, |BY + I|, |XY + I|, |X|, |B|, |A|, |X|, |B|, |A|, |A + I|^2, |B + I|^2, |X +$

$I|^2, |X + I| \cdot |B|, |X + I| \cdot |A|, |B + I| \cdot |A|, |B + I| \cdot |X|, |A + I| \cdot |X|$ . Since  $A^2 + B^2 = X^2 = Y^{-1}$ , thus  $(A + I)^2 = (B + I)^2 = (X + I)^2 = Y^{-1}(Y + I)$ , i.e.  $|A + I| \neq 0 \Leftrightarrow |B + I| \neq 0 \Leftrightarrow |X + I| \neq 0 \Leftrightarrow |Y + I| \neq 0$ . Therefore, all the  $2 \times 2$  minors of  $L$  is nonzero if and only if  $|A + I| \neq 0$ .

For  $L$ , there are a total of  $\binom{4}{3} \times \binom{4}{3} = 16$  minors of order 3, which are

$$\begin{aligned}
\begin{vmatrix} Y & I & I \\ I & I & A \\ I & A & I \end{vmatrix} &= \begin{vmatrix} I & 0 & Y \\ 0 & I & I \\ 0 & 0 & I \end{vmatrix} \cdot \begin{vmatrix} 0 & YA + I & YA + Y \\ 0 & A + I & 0 \\ I & A & A + I \end{vmatrix} \cdot \begin{vmatrix} I & 0 & 0 \\ 0 & I & I \\ 0 & 0 & I \end{vmatrix} = |Y| \cdot |A + I|^2, \\
\begin{vmatrix} Y & I & I \\ I & I & B \\ I & B & I \end{vmatrix} &= \begin{vmatrix} I & 0 & Y \\ 0 & I & I \\ 0 & 0 & I \end{vmatrix} \cdot \begin{vmatrix} 0 & YB + I & YB + Y \\ 0 & X + I & 0 \\ I & B & B + I \end{vmatrix} \cdot \begin{vmatrix} I & 0 & 0 \\ 0 & I & I \\ 0 & 0 & I \end{vmatrix} = |Y| \cdot |B + I|^2, \\
\begin{vmatrix} Y & I & I \\ I & I & X \\ I & X & I \end{vmatrix} &= \begin{vmatrix} I & 0 & Y \\ 0 & I & I \\ 0 & 0 & I \end{vmatrix} \cdot \begin{vmatrix} 0 & YX + I & YX + Y \\ 0 & X + I & 0 \\ I & X & X + I \end{vmatrix} \cdot \begin{vmatrix} I & 0 & 0 \\ 0 & I & I \\ 0 & 0 & I \end{vmatrix} = |Y| \cdot |X + I|^2, \\
\begin{vmatrix} Y & I & I \\ I & I & A \\ I & B & X \end{vmatrix} &= \begin{vmatrix} I & 0 & 0 \\ B & I & 0 \\ 0 & 0 & I \end{vmatrix} \cdot \begin{vmatrix} I & 0 & Y \\ 0 & I & I \\ 0 & 0 & I \end{vmatrix} \cdot \begin{vmatrix} 0 & YB + I & YX + I \\ 0 & 0 & YBX \\ I & B & X \end{vmatrix} = |YB + I| \cdot |YBX| = |B + I| \cdot |Y| \cdot |X|, \\
\begin{vmatrix} Y & I & I \\ I & I & B \\ I & A & X \end{vmatrix} &= \begin{vmatrix} I & 0 & 0 \\ A & I & 0 \\ 0 & 0 & I \end{vmatrix} \cdot \begin{vmatrix} I & 0 & Y \\ 0 & I & I \\ 0 & 0 & I \end{vmatrix} \cdot \begin{vmatrix} 0 & YA + I & YX + I \\ 0 & 0 & YAX \\ I & A & X \end{vmatrix} = |YA + I| \cdot |YAX| = |A + I| \cdot |Y| \cdot |X|, \\
\begin{vmatrix} Y & I & I \\ I & A & I \\ I & B & X \end{vmatrix} &= \begin{vmatrix} I & 0 & 0 \\ X & I & 0 \\ 0 & 0 & I \end{vmatrix} \cdot \begin{vmatrix} I & 0 & Y \\ 0 & I & I \\ 0 & 0 & I \end{vmatrix} \cdot \begin{vmatrix} 0 & YB + I & YX + I \\ 0 & YXB & 0 \\ I & B & X \end{vmatrix} = |YX + I| \cdot |YXB| = |X + I| \cdot |Y| \cdot |B|, \\
\begin{vmatrix} Y & I & I \\ I & A & B \\ I & I & X \end{vmatrix} &= \begin{vmatrix} I & 0 & 0 \\ 0 & I & 0 \\ A & 0 & I \end{vmatrix} \cdot \begin{vmatrix} I & Y & 0 \\ 0 & I & 0 \\ 0 & I & I \end{vmatrix} \cdot \begin{vmatrix} 0 & YA + I & YB + I \\ I & A & B \\ 0 & 0 & YAB \end{vmatrix} = |YA + I| \cdot |YAB| = |A + I| \cdot |Y| \cdot |B|, \\
\begin{vmatrix} Y & I & I \\ I & A & X \\ I & B & I \end{vmatrix} &= \begin{vmatrix} I & 0 & 0 \\ 0 & I & 0 \\ X & 0 & I \end{vmatrix} \cdot \begin{vmatrix} I & Y & 0 \\ 0 & I & 0 \\ 0 & I & I \end{vmatrix} \cdot \begin{vmatrix} 0 & YA + I & YX + I \\ I & A & X \\ 0 & YXA & 0 \end{vmatrix} = |YX + I| \cdot |YXA| = |X + I| \cdot |Y| \cdot |A|, \\
\begin{vmatrix} Y & I & I \\ I & A & B \\ I & X & I \end{vmatrix} &= \begin{vmatrix} I & 0 & 0 \\ 0 & I & 0 \\ B & 0 & I \end{vmatrix} \cdot \begin{vmatrix} I & Y & 0 \\ 0 & I & 0 \\ 0 & I & I \end{vmatrix} \cdot \begin{vmatrix} 0 & YA + I & YB + I \\ I & A & B \\ 0 & YBA & 0 \end{vmatrix} = |YB + I| \cdot |YBA| = |B + I| \cdot |Y| \cdot |A|, \\
\begin{vmatrix} I & I & I \\ I & A & B \\ A & I & X \end{vmatrix} &= \begin{vmatrix} I & 0 & 0 \\ 0 & I & 0 \\ 0 & I & I \end{vmatrix} \cdot \begin{vmatrix} I & 0 & 0 \\ I & A + I & B + I \\ A + I & 0 & I \end{vmatrix} \cdot \begin{vmatrix} I & I & I \\ 0 & I & 0 \\ 0 & 0 & I \end{vmatrix} = |A + I|, \\
\begin{vmatrix} I & I & I \\ I & A & B \\ B & X & I \end{vmatrix} &= \begin{vmatrix} I & 0 & 0 \\ 0 & I & 0 \\ 0 & I & I \end{vmatrix} \cdot \begin{vmatrix} I & 0 & 0 \\ I & A + I & B + I \\ B + I & I & 0 \end{vmatrix} \cdot \begin{vmatrix} I & I & I \\ 0 & I & 0 \\ 0 & 0 & I \end{vmatrix} = |B + I|,
\end{aligned}$$

$$\begin{aligned}
 \begin{vmatrix} I & I & I \\ A & I & X \\ B & X & I \end{vmatrix} &= \begin{vmatrix} I & 0 & 0 \\ 0 & I & 0 \\ 0 & I & I \end{vmatrix} \cdot \begin{vmatrix} 0 & 0 & I \\ B & X + I & X \\ I & 0 & X + I \end{vmatrix} \cdot \begin{vmatrix} I & 0 & 0 \\ 0 & I & 0 \\ I & I & I \end{vmatrix} = |X + I|, \\
 \begin{vmatrix} I & I & A \\ I & A & I \\ I & B & X \end{vmatrix} &= \begin{vmatrix} I & 0 & 0 \\ I & I & 0 \\ I & 0 & I \end{vmatrix} \cdot \begin{vmatrix} I & I & A + I \\ 0 & A + I & 0 \\ 0 & B + I & I \end{vmatrix} \cdot \begin{vmatrix} I & 0 & 0 \\ 0 & I & I \\ 0 & 0 & I \end{vmatrix} = |A + I|, \\
 \begin{vmatrix} I & I & B \\ I & A & X \\ I & B & I \end{vmatrix} &= \begin{vmatrix} I & 0 & 0 \\ I & I & 0 \\ I & 0 & I \end{vmatrix} \cdot \begin{vmatrix} I & I & B + I \\ 0 & A + I & I \\ 0 & B + I & 0 \end{vmatrix} \cdot \begin{vmatrix} I & 0 & 0 \\ 0 & I & I \\ 0 & 0 & I \end{vmatrix} = |B + I|, \\
 \begin{vmatrix} I & A & B \\ I & I & X \\ I & X & I \end{vmatrix} &= \begin{vmatrix} I & 0 & I \\ 0 & I & I \\ 0 & 0 & I \end{vmatrix} \cdot \begin{vmatrix} 0 & B & I \\ 0 & X + I & 0 \\ I & X & X + I \end{vmatrix} \cdot \begin{vmatrix} I & 0 & 0 \\ 0 & I & I \\ 0 & 0 & I \end{vmatrix} = |X + I|, \\
 \begin{vmatrix} I & A & B \\ A & I & X \\ B & X & I \end{vmatrix} &= \begin{vmatrix} I & 0 & 0 \\ I & I & 0 \\ I & 0 & I \end{vmatrix} \cdot \begin{vmatrix} I & 0 & 0 \\ 0 & B + I & 0 \\ 0 & 0 & A + I \end{vmatrix} \cdot \begin{vmatrix} I & A & B \\ 0 & B + I & X \\ 0 & X & A + I \end{vmatrix} = |A + I| \cdot |B + I| \cdot \begin{vmatrix} B + I & X \\ X & A + I \end{vmatrix} \\
 &= |A + I| \cdot |B + I| \cdot |(B + I)X^{-1}(A + I) + X| \cdot |X| \\
 &= |A + I| \cdot |B + I| \cdot |(B + I)X^{-1}(A + I)X + X^2| \\
 &= |A + I| \cdot |B + I| \cdot |(B + I)X^{-1}X(B + I) + X^2| \\
 &= |A + I| \cdot |B + I|.
 \end{aligned}$$

In the same way, all the  $3 \times 3$  minors of  $L$  is nonzero if and only if  $|A + I| \neq 0$ .

At last,

$$\begin{aligned}
 \begin{vmatrix} Y & I & I & I \\ I & I & A & B \\ I & A & I & X \\ I & B & X & I \end{vmatrix} &= \begin{vmatrix} I & 0 & 0 & Y \\ 0 & I & 0 & I \\ 0 & 0 & I & I \\ 0 & 0 & 0 & I \end{vmatrix} \cdot \begin{vmatrix} 0 & YB + IYX + IY + I \\ 0 & B + I & B & B + I \\ 0 & X & X + I & X + I \\ I & B & X & I \end{vmatrix} \\
 &= \begin{vmatrix} I & 0 & YA \\ 0 & I & I \\ 0 & 0 & I \end{vmatrix} \cdot \begin{vmatrix} 0 & YAX + YB + IYAX + YA + Y + I \\ 0 & A + I & A \\ I & X + I & X + I \end{vmatrix} \cdot \begin{vmatrix} I & 0 & 0 \\ I & I & 0 \\ 0 & 0 & I \end{vmatrix} \\
 &= \begin{vmatrix} Y(X + I) & YAX + YA + Y + I \\ I & A \end{vmatrix} \cdot \begin{vmatrix} I & 0 \\ I & I \end{vmatrix} \\
 &= \begin{vmatrix} Y(X + I) & Y(X + I)A + YAX + YA + Y + I \\ I & 0 \end{vmatrix} \cdot \begin{vmatrix} I & A \\ 0 & I \end{vmatrix} \\
 &= |Y(X + I)A + YAX + YA + Y + I| \\
 &= |YA(B + I) + YAX + YA + Y + I| = |Y|.
 \end{aligned}$$

In conclusion,  $L$  is MDS if and only if  $|A + I| \neq 0$ .

Given matrices of 3 types, Theorem 6 is deduced directly from Proposition 1. One can directly calculate all the minors of the matrix to acquire those conditions.

Next we present some useful lemmas on XOR counts. Remember that  $\#L$  refers to the XOR counts of  $L$ .

**Lemma 1.** *Given a matrix  $B \in GL(m, \mathbb{F}_2)$  such that  $|B+I| \neq 0$ , then  $\#B > 0$ .*

*Proof.* We may assume that  $\#B = 0$ . Then each row and each column of  $B+I$  has exactly two entries equal 1 since  $|B+I| \neq 0$ . This leads to that all the columns of  $B+I$  sum to 0, which means that  $B+I$  is singular. This is a contradiction.

**Lemma 2.** *Given a matrix  $B \in GL(m, \mathbb{F}_2)$  with  $\#B = 1$ , then there exists a unique  $P \in GL(m, \mathbb{F}_2)$ ,  $E$  such that  $B = P + E$ , where  $\#P = 0$  i.e.  $P$  is a permutation matrix and  $E$  is a  $m \times m$  matrix over  $\mathbb{F}_2$  with only one entry nonzero. Furthermore, if  $|B+I| \neq 0$ , then  $P$  is a cycle of length  $m$ .*

*Proof.* Since the determinant of  $B$  equals to 1, we could pick out  $m$  nonzero entries of  $B$  which are all in distinct rows and columns. Since  $\#B = 1$ , there is a unique nonzero entry in  $B$  except those  $m$  entries, call  $E$  the matrix which has only one nonzero entry in that position. Then  $P = B + E$  must be a permutation matrix with  $\#B = 0$ . The chosen of  $P$  and  $E$  is unique, since there are  $m-1$  rows of  $B$  which has only one nonzero entry in each row. Assume that  $|P+I| \neq 0$ . If  $P$  is not a cycle of length  $m$ , then  $P$  has at least two cycles noted  $P_1, P_2$ . Let  $l_1, l_2$  be the length of  $P_1, P_2$  respectively. It is well known that similar matrices represent the same linear operation under two different bases. Without loss of generality, let  $P_1$  be the cycle of the first  $l_1$  rows and  $P_2$  be the cycle of the following  $l_2$  rows (View  $P$  the permutation of rows of a matrix). It is clearly that  $\#P_1 = 0$  over  $GL(l_1, \mathbb{F}_2)$  and  $\#P_2 = 0$  over  $GL(l_2, \mathbb{F}_2)$ . Then  $|P_1 + I_1| = 0$  and  $|P_2 + I_2| = 0$  according to Lemma 1, where  $I_1, I_2$  are the identity matrix in  $GL(l_1, \mathbb{F}_2), GL(l_2, \mathbb{F}_2)$  respectively.  $P$  is a diagonal matrix. The nonzero element in  $E$  will make sure that at least one of  $|P_1 + I_1|$  and  $|P_2 + I_2|$  is a factor of  $|B+I|$ . This leads to that  $|B+I| = 0$ , which is a contradiction.

**Lemma 3.** *Given a matrix  $A \in GL(m, \mathbb{F}_2)$  with  $\#A = 1$ , then  $\#A^{-1} = 1$ .*

*Proof.* Since  $\#A = 1$ ,  $A = P + E$  according to Lemma 2, where  $\#P = 0$  i.e.  $P$  is a permutation matrix and  $E$  is a  $m \times m$  matrix over  $\mathbb{F}_2$  with only one entry nonzero. Since  $A = P + E = P(I + P^{-1}E)$  and  $P$  is a permutation matrix, we can deduce that  $\#(I + P^{-1}E) = 1$ . That is to say the unique nonzero entry of  $P^{-1}E$  is not in the diagonal. Therefore, we have  $P^{-1}EP - 1E$  is zero matrix in which all the entries are zero. We assert that  $A^{-1} = P^{-1} + P^{-1}EP^{-1}$ , since  $(P^{-1} + P^{-1}EP^{-1})(P + E) = I + P^{-1}E + P^{-1}E + P^{-1}EP - 1E = I$ . Hence,  $\#A^{-1} = 1$ .

**Lemma 4.** *Given a matrix  $B \in GL(m, \mathbb{F}_2)$  where  $m \geq 4$  with  $\#B = 1$ ,  $|B+I| \neq 0$  and  $X = B^2$ , then  $\#X \geq 2$ .*

*Proof.* Since  $B$  satisfies all the conditions of Lemma 2,  $B$  can be represented as the sum of  $P$  and  $E$ , where  $\#P = 0$  i.e.  $P$  is a permutation matrix and  $E$  is a  $m \times m$  matrix over  $\mathbb{F}_2$  with only one entry nonzero. Then  $B^2 = (P + E)^2 = P^2 + PE + EP + E^2$ . Since  $\#B = \#(P + E) = 1$  and  $P$  is a permutation matrix,  $\#(P^2 + PE) = \#P(P + E) = 1$  and  $\#(P^2 + EP) = \#(P + E)P = 1$ . If  $E^2 = 0$

i.e. the nonzero entry in  $E$  is not in the diagonal, we have  $PE \neq EP$  for that the column of the nonzero element in  $PE$  is the same as which in  $E$  and the row of the nonzero element in  $EP$  is the same as which in  $E$ . Then  $\#B^2 = \#(P^2 + PE + EP) = 2$ . If  $E^2 \neq 0$ , then the nonzero element of  $E$  is in the diagonal. According to the fact that  $P$  is a cycle of length  $m$ , we have  $\#PE, EP, E^2 = 3$  and  $\#(P^2 + E^2) = 1$ . Then we have  $\#B^2 = \#(P^2 + PE + EP + E^2) = 3$ . Therefore  $\#X \geq 2$  holds all the time.

**Lemma 5.** *Suppose  $A, B \in GL(m, \mathbb{F}_2)$ , where  $m \geq 4$ . If there exists  $X = A + B$  such that  $X$  is non-singular, then  $\#A + \#B + \#X \geq m$ .*

*Proof.* If  $\#A + \#B \geq m$ , then the conclusion is obviously correct. Therefore we can always assume that  $\#A + \#B < m$ . There are at least  $m - \#A$  rows of  $A$  and  $m - \#B$  rows of  $B$  which have only one nonzero element each row. Then there exists at least  $m - \#A - \#B$  rows of both  $A$  and  $B$  such that the number of nonzero entries in each row is one. Then there must be two nonzero elements in each row of those  $m - \#A - \#B$  rows of  $X$  to make sure  $X$  non-singular. Hence  $\#X \geq m - \#A - \#B$  i.e.  $\#A + \#B + \#X \geq m$ .

From the lemmas and Theorem 6, we have the following corollary easily. The corollary gives the lower bound of XOR counts of  $4 \times 4$  MDS matrix over  $GL(m, \mathbb{F}_2)$  with  $m \geq 4$  in the three types. We must emphasize that for arbitrary  $m \geq 5$ , there exists  $4 \times 4$  MDS matrix  $M$  over  $GL(m, \mathbb{F}_2)$  with  $\#L = 10$  for Type 1 and Type 2 but not Type 3.

**Corollary 8** *Let  $M$  be a  $4 \times 4$  MDS matrix over  $GL(m, \mathbb{F}_2)$  in one of the three types given by Theorem 5, where  $m \geq 4$ , then the XOR counts of  $M$  is not less than 10.*

*Proof.* In type 1 and 2, we observe that  $X = B^2$ . Then we claim that  $X + I$  is singular, since  $X + I = B^2 + I = (B + I)^2$  and from Theorem 6 we have  $|B + I| \neq 0$ . Thus, we have  $|X + I| \neq 0$ . If  $\#B = 1$ , it can be deduced that  $\#X \geq 2$  from Lemma 4 and that  $\#A = 1$  from Lemma 3. Therefore, the XOR counts of  $M$  in type 1 and 2 is not less than 10. If  $\#B \geq 2$ , then we have  $\#A \geq 2$  from Lemma 3 and  $\#X \geq 1$  from Lemma 1. Hence, the XOR counts of  $M$  in type 1 and 2 is greater than 10.

In type 3, we have  $YA^2 = I$  according to Theorem 7. It can be deduced from Lemma 5 that  $\#A + \#B + \#X \geq m$ . Hence the XOR counts of  $M$  in Type 3 is not less than  $2m + 1$ . It is clearly that  $2m + 1 \geq 11$  when  $m \geq 5$ . It is left to show that the XOR counts of  $M$  in Type 3 is not less than 10 when  $m = 4$ . If  $\#A + \#B + \#X \geq m + 1$ , the conclusion is obviously correct. Then we only need to concern the situation when  $\#A + \#B + \#X = 4$ . Without loss of generality, we assume that  $\#A = 1$ . It is obvious that  $\#A^{-1} = 1$  when  $\#A = 1$ . Since we have  $Y = (A^{-1})^2$  and  $\#A = 1$ , there is no doubt that  $\#Y \neq 1$  according to Lemma 4. Besides,  $A + I$  is required to be non-singular in Theorem 7. Consequently, we have  $A^{-1} + I$  is non-singular. So is  $Y + I$ . Then, we have  $\#Y \neq 0$  which is proved in the first paragraph. As to  $X = A + B$ , we already give its XORs bound in Lemma 5. Therefore, the XOR counts of  $M$  in Type 3 is not less than 10.

**Construction of MDS matrices with XOR counts 10**

Before we illustrate the construction of lightweight  $4 \times 4$  MDS matrices, we give a useful lemma on the characteristic polynomial of matrix.

**Lemma 6.** *For arbitrary  $m \geq 7$ , at least one of the six polynomials  $x^m + x + 1, \dots, x^m + x^6 + 1 \in \mathbb{F}_2[x]$  has no common non-trivial factors with  $x + 1, x^2 + x + 1, x^3 + x^2 + 1, x^3 + x + 1, x^6 + x^5 + x^2 + x + 1$ .*

*Proof.* It is obviously that none of the six polynomials can be divided by  $x + 1$ . We assert that at most one of the six polynomials can be divided by  $x^3 + x + 1$ . In fact, if two polynomials are both divided by  $x^3 + x + 1$ , then the sum of these two polynomials can also be divided. However, none of  $x^5 + 1, x^4 + 1, x^3 + 1, x^2 + 1, x + 1$  can be divided by  $x^3 + x + 1$ . Similarly, at most one of the six polynomials can be divided by  $x^3 + x^2 + 1$  and at most one of the six polynomials can be divided by  $x^6 + x^5 + x^2 + x + 1$ . To verify that whether a polynomial can be divided by  $x^2 + x + 1$ , it is sufficient to calculate the result mod  $x^3 + 1$ . Hence, at most two of the six polynomials can be divided by  $x^2 + x + 1$ . Above all, at least one of the six polynomials  $x^m + x + 1, \dots, x^m + x^6 + 1 \in \mathbb{F}_2[x]$  has no common non-trivial factors with  $x + 1, x^2 + x + 1, x^3 + x^2 + 1, x^3 + x + 1, x^6 + x^5 + x^2 + x + 1$ .

*Remark 2.* Note that  $x^4 + x + 1, x^5 + x^2 + 1, x^6 + x + 1$  respectively has no common non-trivial factors with  $x + 1, x^2 + x + 1, x^3 + x^2 + 1, x^3 + x + 1, x^6 + x^5 + x^2 + x + 1$ . To make sure the beauty, this conclusion is not included in Lemma 6. This conclusion will be used in the following theorem.

**Theorem 9.** *For arbitrary  $m \geq 4$ , there exists  $A, B, X \in GL(m, \mathbb{F}_2)$  with  $\#A = 1, \#B = 1, \#X = 2$  such that the matrix constructed from Type 1 and Type 2 in Theorem 5 is MDS matrix with XOR count 10.*

*Proof.* We construct the matrix of the form Type 1 and Type 2 in Theorem 5 with  $B$  the companion matrix of the polynomials which have no common non-trivial factors with  $x + 1, x^2 + x + 1, x^3 + x^2 + 1, x^3 + x + 1, x^6 + x^5 + x^2 + x + 1$  by Lemma 6 and Remark 2. These constructed matrix must be MDS matrix according to Theorem 6. It is easily to verify that  $\#X = \#B^2 = 2$  when  $B$  is the companion matrix of those polynomials.

From the above theorem, we can describe the matrix  $B$  in Type 1 and 2 more specifically. Since the characteristic polynomials of  $B$  over  $GL(m, \mathbb{F}_2)$  are achieved by the conditions in Theorem 6 and Theorem 9, we can select matrix  $B$  over  $GL(m, \mathbb{F}_2)$  and construct  $4 \times 4$  MDS matrices easily.

Here we give the construction of  $4 \times 4$  MDS matrix over  $GL(8, \mathbb{F}_2)$  whose XOR counts is 10. All the characteristic polynomials of  $B$  satisfying the conditions in



Theorem 6 are listed in Table 3.3. We select

$$B = \begin{pmatrix} \cdot & \cdot & \cdot & \cdot & \cdot & \cdot & \cdot & 1 \\ \cdot & \cdot & 1 & \cdot & \cdot & \cdot & \cdot & \cdot \\ 1 & 1 & \cdot & \cdot & \cdot & \cdot & \cdot & \cdot \\ \cdot & 1 & \cdot & \cdot & \cdot & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & 1 & \cdot & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot & 1 & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot & \cdot & 1 & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot & \cdot & \cdot & 1 & \cdot \end{pmatrix},$$

where its characteristic polynomial is  $x^8 + x^6 + 1$ . Then by equation  $AB = I$  and  $X = B^2$ , we can obtain  $A$  and  $X$ . Then, we can obtain a MDS matrix of Type 1 or 2nd with 10 XOR counts.

**Table 1.** Characteristic polynomial of matrix B

$x^8 + x^6 + 1$	$x^8 + x^7 + x^6 + x^4 + 1$
$x^8 + x^7 + x^5 + x^4 + 1$	$x^8 + x^6 + x^5 + x^4 + 1$
$x^8 + x^7 + x^5 + x^3 + 1$	$x^8 + x^6 + x^5 + x^3 + 1$
$x^8 + x^5 + x^4 + x^3 + 1$	$x^8 + x^7 + x^6 + x^5 + x^4 + x^3 + 1$
$x^8 + x^2 + 1$	$x^8 + x^7 + x^6 + x^5 + x^2 + x + 1$
$x^8 + x^7 + x^6 + x^4 + x^2 + 1$	$x^8 + x^7 + x^4 + x^3 + x^2 + x + 1$
$x^8 + x^6 + x^5 + x^2 + 1$	$x^8 + x^7 + x^6 + x + 1$
$x^8 + x^6 + x^4 + x^2 + 1$	$x^8 + x^7 + x^5 + x + 1$
$x^8 + x^7 + x^6 + x^5 + x^2 + 1$	$x^8 + x^6 + x^5 + x + 1$
$x^8 + x^7 + x^3 + x^2 + 1$	$x^8 + x^7 + x^3 + x + 1$
$x^8 + x^6 + x^3 + x^2 + 1$	$x^8 + x^5 + x^3 + x + 1$
$x^8 + x^5 + x^3 + x^2 + 1$	$x^8 + x^4 + x^3 + x + 1$
$x^8 + x^4 + x^3 + x^2 + 1$	$x^8 + x^7 + x^5 + x^4 + x^3 + x + 1$
$x^8 + x^7 + x^6 + x^4 + x^3 + x^2 + 1$	$x^8 + x^7 + x^6 + x^4 + x^3 + x + 1$
$x^8 + x^7 + x^5 + x^4 + x^3 + x^2 + 1$	$x^8 + x^7 + x^2 + x + 1$
$x^8 + x^4 + x^2 + x + 1$	$x^8 + x^7 + x^6 + x^5 + x^4 + x + 1$
$x^8 + x^6 + x^5 + x^4 + x^2 + x + 1$	$x^8 + x^7 + x^6 + x^3 + x^2 + x + 1$
$x^8 + x^6 + x^4 + x^3 + x^2 + x + 1$	$x^8 + x^5 + x^4 + x^3 + x^2 + x + 1$

## 4 Conclusion

In this paper, we find the lightest  $4 \times 4$  MDS matrices over  $GL(4, \mathbb{F}_2)$  via searching all the candidates. Our results demonstrate that lower bound of XORs of  $4 \times 4$  MDS matrices over  $GL(4, \mathbb{F}_2)$  is 10. Furthermore, we generalize these structures to  $GL(m, \mathbb{F}_2)$  and directly obtain the lightweight MDS with 10 XOR counts. However, we have not applied the algorithm to  $4 \times 4$  MDS matrices over  $GL(8, \mathbb{F}_2)$  yet because of the high complexity. We also tested all the MDS matrices with 10 XOR counts, and find none is an involutory MDS matrix.

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