# Nearest Singular Polynomials ${ }^{\dagger}$ 

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> The nearest singular polynomial with a multiple zero of multiplicity $k \geq 2$ is considered based on the minimization of a quadratic form. Some recursive relations between the polynomials determining the multiple zeros for consecutive $k$ 's are presented.
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## 1. Introduction

Some problems have been studied based on the minimization of a quadratic form (Corless et al., 1995; Karmarkar and Lakshman, 1996a; Karmakar and Lakshman, 1996b; Zhi Lihong and Wu Wenda, 1997). One of them is to find the nearest singular polynomial with a double zero to a given polynomial. In this paper, we will consider the case of the nearest singular polynomial with a multiple zero of multiplicity $k$ for any positive integer $k \geq 2$. This is not only a natural generalization of Zhi Lihong and Wu Wenda (1997), but we will also derive some recursive relations related to the determination of nearest singular polynomials for consecutive $k$ 's.

In Section 2, we will give a suitable expression for the quadratic form in terms of the undetermined multiple zero $c$ and its conjugate which leads to an easy factorization of its derivatives with respect to $c$ and $\bar{c}$. The factored form of its first derivative is given in Section 3. In Section 4, some recursive relations for the factors of the first derivative are derived for consecutive $k$ 's. In Section 5, we will determine which factor is useful for our purpose. Numerical examples are given in Section 6.

## 2. Expressions for the Quadratic Form

The problem considered is the following: given a monic polynomial $f$

$$
f=x^{m}+\sum_{j=1}^{m} f_{j} x^{m-j}, \quad f_{j} \in \mathcal{C}
$$

[^0]find a monic polynomial $h(x)$ of the form
$$
h=(x-c)^{k}\left(x^{m-k}+\sum_{j=1}^{m-k} \phi_{j} x^{m-k-j}\right), \quad c, \phi_{j} \in \mathcal{C},
$$
such that
$$
\mathcal{N}:=\|f-h\|^{2}
$$
is minimized, where
$$
\|p(x)\|^{2}=\sum_{j=0}^{k}\left|p_{j}\right|^{2}
$$
for any
$$
p(x)=\sum_{j=0}^{k} p_{j} x^{k-j}, p_{j} \in \mathcal{C} .
$$

Since

$$
f(x)-h(x)=\sum_{j=1}^{m}\left(f_{j}-\sum_{i=0}^{k}\binom{k}{i}(-1)^{i} c^{i} \phi_{j-i}\right) x^{m-j}
$$

where $\phi_{-k+1}=\phi_{-k+2}=\cdots=\phi_{-1}=0, \phi_{0}=1, \phi_{m-k+1}=\phi_{m-k+2}=\cdots=\phi_{m}=0$, we have

$$
\begin{equation*}
\mathcal{N}=\sum_{j=1}^{m}\left|f_{j}-\sum_{i=0}^{k}\binom{k}{i}(-1)^{i} c^{i} \phi_{j-i}\right|^{2} \tag{2.1}
\end{equation*}
$$

Let $r:=f-g-A \phi$, where

$$
\begin{aligned}
f & =\left(f_{1}, \cdots, f_{m}\right)^{T}, \\
g & =\left(a_{21}, \cdots, a_{k 1}, 0, \cdots, 0\right)^{T}, \\
\phi & =\left(\phi_{1}, \cdots, \phi_{m-k}\right)^{T}, \\
A & =\left(a_{i j}\right)_{m \times(m-k)}, \\
a_{i j} & =\binom{k}{i-j}(-1)^{i-j} c^{i-j} .
\end{aligned}
$$

Here, as usual

$$
\binom{p}{q}=0 \quad \text { if } q>p \text { or } q<0
$$

It is easy to see that the $j$ th component of $r$ is the coefficient of $x^{m-j}$ in $f(x)-h(x)$. Thus

$$
\begin{equation*}
\mathcal{N}=r^{*} r=(f-g-A \phi)^{*}(f-g-A \phi) . \tag{2.2}
\end{equation*}
$$

For any $c, \mathcal{N}$ attains its minimum $\mathcal{N}_{m}$ when $\phi$ is the least squares solution of the equation

$$
f-g-A \phi=0
$$

i.e.

$$
\begin{equation*}
\phi=A^{+}(f-g), \tag{2.3}
\end{equation*}
$$

where $A^{+}$is the Penrose inverse of $A$.

In the following, we assume $\phi=A^{+}(f-g)$. Consequently

$$
\begin{align*}
r & =\left(I-A A^{+}\right)(f-g), \\
\mathcal{N}_{m} & =(f-g)^{*}\left(I-A A^{+}\right)(f-g) . \tag{2.4}
\end{align*}
$$

Note that $\mathcal{N}_{m}$ depends on $c$ only. Our problem becomes finding $c$ such that $\mathcal{N}_{m}$ is minimized.
Since the columns of $A$ are linearly independent, we have

$$
A^{+}=\left(A^{*} A\right)^{-1} A^{*}
$$

Let $L$ be an $m \times m$ bi-diagonal Toeplitz matrix

$$
L=\left[\begin{array}{ccccc}
1 & & & &  \tag{2.5}\\
-c & 1 & & & \\
& -c & 1 & & \\
& & & \ddots & \\
& & & -c & 1
\end{array}\right]
$$

It is easy to check that for any positive integer $k$, we have

$$
\begin{aligned}
L^{k} & =\left(l_{i j}^{(k)}\right), & l_{i j}^{(k)} & =\binom{k}{i-j}(-1)^{i-j} c^{i-j} . \\
L^{-k} & =\left(l_{i j}^{(-k)}\right), & l_{i j}^{(-k)} & =\binom{i-j+k-1}{k-1} c^{i-j} .
\end{aligned}
$$

The submatrix composed of the first $m-k$ columns of $L^{k}$ is $A$. We partition $L^{k}$ and $L^{-k}$ correspondingly:

$$
L^{k}=\left(\begin{array}{ll}
A & B
\end{array}\right), \quad L^{-k}=\binom{U}{V}
$$

and let $W:=U\left(I-V^{*}\left(V V^{*}\right)^{-1} V\right)$; then

$$
A^{*} A W=A^{*}(I-B V)\left(I-V^{*}\left(V V^{*}\right)^{-1} V\right)=A^{*}\left(I-V^{*}\left(V V^{*}\right)^{-1} V\right)=A^{*}
$$

and

$$
W=\left(A^{*} A\right)^{-1} A^{*}=A^{+} .
$$

Now $r$ and $\mathcal{N}_{m}$ become

$$
\begin{align*}
r & =\left(I-A A^{+}\right)(f-g)=V^{*}\left(V V^{*}\right)^{-1} V(f-g), \\
\mathcal{N}_{m} & =(f-g)^{*} V^{*}\left(V V^{*}\right)^{-1} V(f-g) . \tag{2.6}
\end{align*}
$$

It is easy to see that

$$
V(f-g)=\left[\psi_{1} \cdots \psi_{k}\right]^{T}:=\psi, \quad \psi_{i}=\frac{1}{(k-1)!}\left(c^{i-1} f(c)\right)^{(k-1)} .
$$

$\psi$ can also be expressed as the following:

$$
\psi=\Omega J \eta
$$

where

$$
\Omega=\left(\Omega_{i j}\right), \quad \Omega_{i j}=\frac{1}{(k-j)!}\binom{i-1}{j-1} c^{i-j}, \quad J=\left(\delta_{i, k+1-j}\right)
$$

$$
\begin{equation*}
\eta=\left[f(c) f^{\prime}(c) \cdots f^{(k-1)}(c)\right]^{T} . \tag{2.7}
\end{equation*}
$$

Therefore,

$$
\begin{equation*}
\mathcal{N}_{m}=\eta^{*} J \Omega^{*}\left(V V^{*}\right)^{-1} \Omega J \eta=\eta^{*}\left(J \Omega^{-1} V V^{*}\left(\Omega^{-1}\right)^{*} J\right)^{-1} \eta . \tag{2.8}
\end{equation*}
$$

Let

$$
\begin{equation*}
\Lambda:=J \Omega^{-1} V V^{*}\left(\Omega^{-1}\right)^{*} J=\left(\lambda_{i j}\right) \tag{2.9}
\end{equation*}
$$

then

$$
\begin{equation*}
\lambda_{i j}=\frac{\partial^{i+j-2}}{\partial c^{i-1} \partial \bar{c}^{j-1}} q, \quad q=\sum_{j=0}^{m-1}(c \bar{c})^{j} . \tag{2.10}
\end{equation*}
$$

Hence

$$
\begin{equation*}
\mathcal{N}_{m}=\eta^{*} \Lambda^{-1} \eta \tag{2.11}
\end{equation*}
$$

which is true for any $k$. We will write it with index $k$ as

$$
\begin{equation*}
\mathcal{N}_{m}^{(k)}=\eta_{k}^{*} \Lambda_{k}^{-1} \eta_{k} \tag{2.12}
\end{equation*}
$$

Equation (2.12) is the expression for the quadratic form used in the following discussion.

## 3. First Derivative

For any matrix or vector $M=\left(m_{i j}\right)$, we will use the notation $\frac{\partial M}{\partial c}=\left(\frac{\partial m_{i j}}{\partial c}\right)$.
Theorem 1.

$$
\begin{equation*}
\frac{\partial \mathcal{N}_{m}^{(k)}}{\partial c}=\frac{1}{\left(\operatorname{det} \Lambda_{k}\right)^{2}} P_{k+1} \overline{P_{k}} \tag{3.1}
\end{equation*}
$$

where

$$
P_{i}:=\operatorname{det}\left(\begin{array}{cc}
\Lambda_{i-1} & \eta_{i-1}  \tag{3.2}\\
\omega_{i-1}^{*} & f^{(i-1)}
\end{array}\right), \quad i=k, k+1
$$

and $\omega_{i-1}^{*}$ is the $i$ th row of $\Lambda_{i}$ upon deletion of its last element.
Proof. Let $\xi_{k}:=\Lambda_{k}^{-1} \eta_{k}$, i.e. $\eta_{k}=\Lambda_{k} \xi_{k}$. Now

$$
\begin{gathered}
\frac{\partial \Lambda_{k}}{\partial c} \xi_{k}+\Lambda_{k} \frac{\partial \xi_{k}}{\partial c}-\frac{\partial \eta_{k}}{\partial c}=0 \\
\Lambda_{k} \frac{\partial \xi_{k}}{\partial c}=-\frac{\partial \Lambda_{k}}{\partial c} \Lambda_{k}^{-1} \eta_{k}+\frac{\partial \eta_{k}}{\partial c}
\end{gathered}
$$

For $i<k$, the $i$ th row of $\frac{\partial \Lambda_{k}}{\partial c}$ is the $(i+1)$ th row of $\Lambda_{k}$; the $k$ th row of $\frac{\partial \Lambda_{k}}{\partial c}$ is the $(k+1)$ th row of $\Lambda_{k+1}$ (upon deletion of its last element) which we denote by $\omega_{k}^{*}$. Therefore,

$$
\left.\left.\begin{array}{rl}
\Lambda_{k} \frac{\partial \xi_{k}}{\partial c} & =-\left[\begin{array}{ccccc}
0 & 1 & & & \\
& & 1 & & \\
& & & \ddots & \\
& & & & 1
\end{array}\right] \eta_{k}+\frac{\partial \eta_{k}}{\partial c} \\
& \\
& \omega_{k}^{*} \Lambda_{k}^{-1} \\
& \\
& =-\left[\begin{array}{lllll}
f^{\prime}(c) & \cdots & f^{(k-1)}(c) & \omega_{k}^{*} \Lambda_{k}^{-1}
\end{array}\right]^{T}+\left[f^{\prime}(c)\right. \\
& \cdots
\end{array} f^{(k-1)}(c) f^{(k)}(c)\right]^{T}\right]\left[\begin{array}{llll}
0 & \cdots & 0 & f^{(k)}(c)-\omega_{k}^{*} \Lambda_{k}^{-1} \eta_{k}
\end{array}\right],
$$

$$
\begin{aligned}
\frac{\partial \mathcal{N}_{m}^{(k)}}{\partial c} & =\frac{\partial}{\partial c}\left(\eta_{k}^{*} \xi_{k}\right)=\eta_{k}^{*} \Lambda_{k}^{-1}\left[\begin{array}{lll}
0 & \cdots & 0
\end{array} f^{(k)}(c)-\omega_{k}^{*} \Lambda_{k}^{-1} \eta_{k}\right]^{T} \\
& =\left(f^{(k)}(c)-\omega_{k}^{*} \Lambda_{k}^{-1} \eta_{k}\right) \frac{\operatorname{det} \Lambda_{k-1}}{\operatorname{det}\left(\Lambda_{k}\right)}\left(f^{(k-1)}(c)-\omega_{k-1}^{*} \Lambda_{k-1}^{-1} \eta_{k-1}\right)^{*} \\
& =\frac{1}{\left(\operatorname{det} \Lambda_{k}\right)^{2}} \operatorname{det}\left(\begin{array}{cc}
\Lambda_{k} & \eta_{k} \\
\omega_{k}^{*} & f^{(k)}(c)
\end{array}\right)\left(\operatorname{det}\left(\begin{array}{cc}
\Lambda_{k-1} & \eta_{k-1} \\
\omega_{k-1}^{*} & f^{(k-1)(c)}
\end{array}\right)\right)^{*} \\
& =\frac{1}{\left(\operatorname{det} \Lambda_{k}\right)^{2}} P_{k+1} \overline{P_{k}}
\end{aligned}
$$

So we have equation (3.1).

## 4. Recursive Relations

In the following, we will prove some recursive relations between $\Lambda_{k}$ and $P_{k}$.

## Theorem 2.

$$
\begin{equation*}
\operatorname{det} \Lambda_{k} \frac{\partial^{2}}{\partial c \partial \bar{c}} \operatorname{det} \Lambda_{k}-\frac{\partial}{\partial c} \operatorname{det} \Lambda_{k} \frac{\partial}{\partial \bar{c}} \operatorname{det} \Lambda_{k}=\operatorname{det} \Lambda_{k-1} \operatorname{det} \Lambda_{k+1} . \tag{4.1}
\end{equation*}
$$

Proof. We have

$$
\begin{aligned}
\operatorname{det} \Lambda_{k+1}= & \operatorname{det}\left(\begin{array}{ccc}
\Lambda_{k-1} & u_{1} & u_{2} \\
u_{1}^{*} & \alpha & \xi \\
u_{2}^{*} & \beta & \eta
\end{array}\right)=\operatorname{det} \Lambda_{k-1}\left(\left(\begin{array}{cc}
\alpha & \xi \\
\beta & \eta
\end{array}\right)-\binom{u_{1}^{*}}{u_{2}^{*}} \Lambda_{k-1}^{-1}\left(u_{1} u_{2}\right)\right) \\
= & \operatorname{det} \Lambda_{k-1}\left(\alpha-u_{1}^{*} \Lambda_{k-1}^{-1} u_{1}\right)\left(\eta-u_{2}^{*} \Lambda_{k-1}^{-1} u_{2}\right) \\
& -\operatorname{det} \Lambda_{k-1}\left(\beta-u_{2}^{*} \Lambda_{k-1}^{-1} u_{1}\right)\left(\xi-u_{1}^{*} \Lambda_{k-1}^{-1} u_{2}\right) \\
\operatorname{det} \Lambda_{k}= & \operatorname{det}\left(\begin{array}{cc}
\Lambda_{k-1} & u_{1} \\
u_{1}^{*} & \alpha
\end{array}\right)=\operatorname{det} \Lambda_{k-1}\left(\alpha-u_{1}^{*} \Lambda_{k-1}^{-1} u_{1}\right) .
\end{aligned}
$$

In det $\Lambda_{k}$, the partial derivative of the $i$ th row with respect to $c$ is the $(i+1)$ th row for $i<k$, and the $k$ th row of $\frac{\partial \operatorname{det} \Lambda_{k}}{\partial c}$ is the $(k+1)$ th row of $\operatorname{det} \Lambda_{k+1}$ upon deletion of its last element; similarly for the derivatives of the columns with respect to $\bar{c}$. Hence,

$$
\begin{aligned}
& \frac{\partial}{\partial c} \operatorname{det} \Lambda_{k}=\operatorname{det}\left(\begin{array}{cc}
\Lambda_{k-1} & u_{1} \\
u_{2}^{*} & \beta
\end{array}\right)=\operatorname{det} \Lambda_{k-1}\left(\beta-u_{2}^{*} \Lambda_{k-1}^{-1} u_{1}\right), \\
& \frac{\partial}{\partial \bar{c}} \operatorname{det} \Lambda_{k}=\operatorname{det}\left(\begin{array}{cc}
\Lambda_{k-1} & u_{2} \\
u_{1}^{*} & \xi
\end{array}\right)=\operatorname{det} \Lambda_{k-1}\left(\xi-u_{1}^{*} \Lambda_{k-1}^{-1} u_{2}\right), \\
& \frac{\partial^{2}}{\partial c \partial \bar{c}} \operatorname{det} \Lambda_{k}=\operatorname{det}\left(\begin{array}{cc}
\Lambda_{k-1} & u_{2} \\
u_{2}^{*} & \eta
\end{array}\right)=\operatorname{det} \Lambda_{k-1}\left(\eta-u_{2}^{*} \Lambda_{k-1}^{-1} u_{2}\right) \text {. }
\end{aligned}
$$

Thus, we get equation (4.1).
In an analogous fashion, we derive Theorems 3 and 4.

## Theorem 3.

$$
\begin{equation*}
P_{k} \frac{\partial^{2}}{\partial c \partial \bar{c}} P_{k}-\frac{\partial}{\partial c} P_{k} \frac{\partial}{\partial \bar{c}} P_{k}=P_{k-1} P_{k+1} . \tag{4.2}
\end{equation*}
$$

Theorem 4.

$$
\begin{equation*}
\operatorname{det} \Lambda_{k} \frac{\partial}{\partial c} P_{k}-P_{k} \frac{\partial}{\partial c} \operatorname{det} \Lambda_{k}=\operatorname{det} \Lambda_{k-1} P_{k+1} \tag{4.3}
\end{equation*}
$$

## 5. The Useful Factor

Let $c=a+i b$, where $a$ and $b$ are real, and consider $\mathcal{N}_{m}^{(k)}$ as a real rational function of the real variables $a$ and $b$. The problem is to find the real solutions of the system

$$
\begin{equation*}
\frac{\partial \mathcal{N}_{m}^{(k)}}{\partial a}=0, \quad \frac{\partial \mathcal{N}_{m}^{(k)}}{\partial b}=0 \tag{5.1}
\end{equation*}
$$

Because

$$
\begin{equation*}
\frac{\partial \mathcal{N}_{m}^{(k)}}{\partial c}=\frac{1}{2}\left(\frac{\partial \mathcal{N}_{m}^{(k)}}{\partial a}-i \frac{\partial \mathcal{N}_{m}^{(k)}}{\partial b}\right), \quad \frac{\partial \mathcal{N}_{m}^{(k)}}{\partial \bar{c}}=\frac{1}{2}\left(\frac{\partial \mathcal{N}_{m}^{(k)}}{\partial a}+i \frac{\partial \mathcal{N}_{m}^{(k)}}{\partial b}\right) \tag{5.2}
\end{equation*}
$$

it is sufficient to consider

$$
\begin{equation*}
\frac{\partial \mathcal{N}_{m}^{(k)}}{\partial c}=0 \tag{5.3}
\end{equation*}
$$

for determining $c$. The trace $T$ and determinant $D$ of the Hessian matrix can be expressed as

$$
\begin{equation*}
T=4 \frac{\partial^{2} \mathcal{N}_{m}^{(k)}}{\partial c \partial \bar{c}}, \quad D=4\left(\left(\frac{\partial^{2} \mathcal{N}_{m}^{(k)}}{\partial c \partial \bar{c}}\right)^{2}-\frac{\partial^{2} \mathcal{N}_{m}^{(k)}}{\partial c^{2}} \frac{\partial^{2} \mathcal{N}_{m}^{(k)}}{\partial \bar{c}^{2}}\right) . \tag{5.4}
\end{equation*}
$$

By Theorem 1, we consider $P_{k}=0$ and $P_{k+1}=0$ separately.
Theorem 5. $\quad \mathcal{N}_{m}^{(k)}$ attains its local minimum at c satisfying $P_{k}=0$ if

$$
\begin{equation*}
\left(\operatorname{det} \Lambda_{k-1}\right)^{4} P_{k+1} \overline{P_{k+1}}-\left(\operatorname{det} \Lambda_{k}\right)^{4} P_{k-1} \overline{P_{k-1}}>0 . \tag{5.5}
\end{equation*}
$$

Proof. If $P_{k}=0$, we have:

$$
\begin{aligned}
\frac{\partial P_{k}}{\partial c} & =\frac{\operatorname{det} \Lambda_{k-1} P_{k+1}}{\operatorname{det} \Lambda_{k}}, \frac{\partial \overline{P_{k}}}{\partial c}=-P_{k-1} P_{k+1} / \frac{\partial P_{k}}{\partial c}=-\frac{\operatorname{det} \Lambda_{k}}{\operatorname{det} \Lambda_{k-1}} P_{k-1}, \\
T & =4 \frac{P_{k+1}}{\left(\operatorname{det} \Lambda_{k}\right)^{2}} \frac{\partial \overline{P_{k}}}{\partial \bar{c}}=4 \frac{P_{k+1}}{\left(\operatorname{det} \Lambda_{k}\right)^{2}} \frac{\operatorname{det} \Lambda_{k-1} \overline{P_{k+1}}}{\operatorname{det} \Lambda_{k}}=4 \frac{\operatorname{det} \Lambda_{k-1}}{\left(\operatorname{det} \Lambda_{k}\right)^{3}} P_{k+1} \overline{P_{k+1}} \geq 0, \\
D & =4\left(\left(\frac{\operatorname{det} \Lambda_{k-1}}{\left(\operatorname{det}\left(\Lambda_{k}\right)\right)^{3}} P_{k+1} \overline{P_{k+1}}\right)^{2}-\frac{P_{k-1} \overline{P_{k-1}} P_{k+1} \overline{P_{k+1}}}{\left(\operatorname{det} \Lambda_{k}\right)^{2}\left(\operatorname{det} \Lambda_{k-1}\right)^{2}}\right) \\
& =4 \frac{P_{k+1} \overline{P_{k+1}}}{\left(\operatorname{det} \Lambda_{k-1}\right)^{2}\left(\operatorname{det} \Lambda_{k}\right)^{6}}\left(\left(\operatorname{det} \Lambda_{k-1}\right)^{4} P_{k+1} \overline{P_{k+1}}-\left(\operatorname{det} \Lambda_{k}\right)^{4} P_{k-1} \overline{P_{k-1}}\right) .
\end{aligned}
$$

So $\mathcal{N}_{m}^{(k)}$ attains its local minimum at $c$ satisfying $P_{k}=0$ if $D>0$, i.e.,

$$
\left(\operatorname{det} \Lambda_{k-1}\right)^{4} P_{k+1} \overline{P_{k+1}}-\left(\operatorname{det} \Lambda_{k}\right)^{4} P_{k-1} \overline{P_{k-1}}>0
$$

For $P_{k+1}=0$ we have the following conclusion.
Theorem 6. For c satisfying $P_{k+1}=0$, the trace is non-positive.

| Table 1. $\mathcal{N}_{m}=0.1763296120$ |  |
| :---: | :--- |
| Zeros of $h$ | Zeros of $f$ |
| 0.5806857529 (double) | 0,1 |
| -1.050883646 | -1 |
| $-0.07472166958+0.9804509313 i$ | $i$ |
| $-0.07472166958-0.9804509313 i$ | $-i$ |

Table 2. $\mathcal{N}_{m}=0.5075634529$.

| Table 2. $\mathcal{N}_{m}=0.5075634529$ |  |
| :---: | :---: |
| Zeros of $h$ | Zeros of $f$ |
| $0.3642294253+0.3642294253 i($ triple $)$ | $0,1, i$ |
| $-0.9744637807+0.03279153516 i$ | -1 |
| $0.03279153516-0.9744637807 i$ | $-i$ |

Proof. If $P_{k+1}=0$ then

$$
\begin{aligned}
& \frac{\partial^{2} \mathcal{N}_{m}^{(k)}}{\partial c \partial \bar{c}}=\frac{\overline{P_{k}}}{\left(\operatorname{det} \Lambda_{k}\right)^{2}} \frac{\partial P_{k+1}}{\partial \bar{c}} \\
& \frac{\partial P_{k+1}}{\partial \bar{c}} \operatorname{det} \Lambda_{k-1}= \\
& \frac{\partial \operatorname{det} \Lambda_{k}}{\partial \bar{c}} \frac{\partial P_{k}}{\partial c}+\operatorname{det} \Lambda_{k} \frac{\partial^{2} P_{k}}{\partial c \partial \bar{c}} \\
&-\frac{\partial P_{k}}{\partial \bar{c}} \frac{\partial \operatorname{det} \Lambda_{k}}{\partial c}-P_{k} \frac{\partial^{2} \operatorname{det} \Lambda_{k}}{\partial c \partial \bar{c}} \\
& \frac{\partial \operatorname{det} \Lambda_{k}}{\partial \bar{c}} \frac{\partial P_{k}}{\partial c}-P_{k} \frac{\partial^{2} \operatorname{det} \Lambda_{k}}{\partial c \partial \bar{c}}=\frac{P_{k}}{\operatorname{det} \Lambda_{k}} \frac{\partial \operatorname{det} \Lambda_{k}}{\partial c} \frac{\partial \operatorname{det} \Lambda_{k}}{\partial \bar{c}}-P_{k} \frac{\partial^{2} \operatorname{det} \Lambda_{k}}{\partial c \partial \bar{c}} \\
&=-P_{k} \frac{\operatorname{det} \Lambda_{k+1} \operatorname{det} \Lambda_{k-1}}{\operatorname{det} \Lambda_{k}} \\
& \operatorname{det} \Lambda_{k} \frac{\partial^{2} P_{k}}{\partial c \partial \bar{c}}-\frac{\partial P_{k}}{\partial \bar{c}} \frac{\partial \operatorname{det} \Lambda_{k}}{\partial c}=\operatorname{det} \Lambda_{k} \frac{\partial^{2} P_{k}}{\partial c \partial \bar{c}}-\frac{\operatorname{det} \Lambda_{k}}{P_{k}} \frac{\partial P_{k}}{\partial c} \frac{\partial P_{k}}{\partial \bar{c}}=\frac{\operatorname{det} \Lambda_{k}}{P_{k}} P_{k-1} P_{k+1}=0
\end{aligned}
$$

So we have

$$
T=4 \frac{\partial^{2} \mathcal{N}_{m}^{(k)}}{\partial c \partial \bar{c}}=-4 \frac{\operatorname{det} \Lambda_{k+1}}{\left(\operatorname{det} \Lambda_{k}\right)^{3}} P_{k} \overline{P_{k}} \leq 0
$$

We conclude that it is sufficient to consider those zeros of $P_{k}=0$ which are not zeros of $P_{k+1}=0$. As for zeros of $P_{k+1}=0$, they are candidates for $c$ with a multiplicity higher than $k$. By a repeated use of Theorems 3 and 4 , the common zeros of $P_{k}=0$ and $P_{k+1}=0$ are zeros of $P_{j}=0$ for all $m \geq j>k$.

## 6. Numerical Examples

Example 1. $f=x^{5}-x$.
For $k=2$ (cf. Zhi Lihong and Wu Wenda (1997)), there are four nearest singular polynomials due to the geometry of the zeros of $f$; one of them is

$$
\begin{aligned}
h \approx & x^{5}+0.03895547966 x^{4}+0.06708530296 x^{3}+0.1155277233 x^{2} \\
& -0.8010494959 x+0.3426130279 .
\end{aligned}
$$

The zeros of $h$ are shown in Table 1. The other three cases can be obtained by rotation with an angle $\pi / 2, \pi$ and $3 \pi / 2$ respectively.

For $k=3$, there are four nearest singular polynomials; one of them is

$$
\begin{aligned}
h \approx & x^{5}+(-0.1510160305-0.1510160305 i) x^{4}-0.311275054 i x^{3}+(0.3858582928 \\
& -0.3858582928 i) x^{2}-0.5746952045 x+0.09187090467+0.09187090467 i
\end{aligned}
$$

with the roots shown in Table 2. $c=0$ is the common zero of $P_{4}$ and $P_{5}$, for $k=4,5$; the nearest singular polynomial is

$$
h=x^{5}, \quad \text { and } \quad \mathcal{N}_{m}=1
$$

Example 2.

$$
\begin{aligned}
f= & (x-0.89-0.03 i)(x-0.88+0.02 i)(x-0.87)(x-1) \\
= & x^{4}+(-3.64-0.01 i) x^{3}+(4.9637+0.0273 i) x^{2}+(-3.005606-0.024782 i) x \\
& +0.681906+0.007482 i .
\end{aligned}
$$

For $k=2$ (cf. Zhi Lihong and Wu Wenda (1997)), the nearest singular polynomial of $f$ is unique:

$$
\begin{aligned}
h \approx & x^{4}+(-3.639999897-0.01000012076 i) x^{3}+(4.963700115+0.02729986094 i) x^{2} \\
& +(-3.005605870-0.02478216008 i) x+0.6819061456+0.007481815756 i,
\end{aligned}
$$

with these roots shown in Table 3.
For $k=3$, the nearest singular polynomial with a zero of multiplicity 3 is also unique:

$$
\begin{aligned}
h \approx & x^{4}+(-3.639968566-0.01002119406 i) x^{3}+(4.963698969+0.02730077333 i) x^{2} \\
& +(-3.005622319-0.02477096306 i) x+0.6819004541+0.007485892787 i .
\end{aligned}
$$

The zeros of $h$ are shown in Table 4. For $k=4$, the nearest singular polynomial with a zero of multiplicity 4 is:

$$
\begin{aligned}
h \approx & x^{4}+(-3.637528548-0.009999075252 i) x^{3}+(4.961817732+0.02727894127 i) x^{2} \\
& +(-3.008080147-0.02480691942 i)) x+0.6838580852+0.007519618582 i .
\end{aligned}
$$

The zeros of $h$ are shown in Table 5 .
Table 3. $\mathcal{N}_{m}=0.1552760144 \times 10^{-12}$.

| Table 3. $\mathcal{N}_{m}=0.1552760144 \times 10^{-12}$ |  |
| :---: | :---: |
| Zeros of $h$ | Zeros of $f$ |
| $0.8768135619-0.01006779565 i$ (double) | $0.88-0.02 i, 0.87$ |
| $0.8866786823+0.02982563187 i$ | $0.89+0.03 i$ |
| $0.9996940915+0.0003100788900 i$ | 1 |

Table 4. $\mathcal{N}_{m}=0.3311925673 \times 10^{-8}$

| Zeros of $h$ | Zeros of $f$ |
| :---: | :---: |
| $0.8817735725+0.002337412033 i$ (triple) | $0.89+0.03 i, 0.88-0.02 i, 0.87$ |
| $0.9946478484+0.003008957959 i$, | 1 |

Table 5. $\mathcal{N}_{m}=0.004425554008$.

| Zeros of $h$ | Zeros of $f$ |
| :---: | :---: |
| $0.9093821369+0.002499768813 i$ | $0.89+0.03 i, 0.88-0.02 i, 0.87,1$ |

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